

JUN 03 2002 Rec'd PCT/PTO 03 JUN 2002

# 4

Serial No. 10/031,210		Filing Date January 11, 2002	Examiner Not Assigned	Case No. 5404/16
Inventor(s) Kazuaki Matsumoto et al.		Group Art Unit Not Assigned		
Title of Invention FLAME RETARDANT AND FLAME-RETARDANT RESIN COMPOSITION				

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (in Duplicate); Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US); Declaration for Patent Application; Power of Attorney; Assignment Transmittal Letter; Assignment; Check(s) for \$130 and \$40; and Return Postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9 =	
x 42 =	
+ \$140 =	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18 =	
x \$84 =	
+ \$280 =	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$130 and \$40 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

06/07/2002 HKAYPAGH 00000124 10031210

01 FC:154

130.00 OP

Respectfully submitted,

*Richard G. Lione*  
 Richard G. Lione  
 Registration No. 19,795  
 Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
 P.O. BOX 10395  
 CHICAGO, ILLINOIS 60610  
 (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on May 22, 2002.

Date:

May 22, 2002

Signature:

*Richard G. Lione*

rev. Dec.-00

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:



Commissioner for Patents  
Washington, D.C. 20231

on May 22, 2002  
Date of Deposit

Richard G. Lione

Name of applicant, assignee or  
Registered Representative

*Richard G. Lione*

Signature

5-22-02

Date of Signature

Case No. 5404/16

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Kazuaki Matsumoto et al.

Serial No.: 10/031,210

Filed: January 11, 2002

For: FLAME RETARDANT  
AND FLAME-  
RETARDANT RESIN  
COMPOSITION

Attention: Manager,  
Application Branch

**RESPONSE TO NOTIFICATION OF  
MISSING REQUIREMENTS UNDER  
35 U.S.C. 371 IN THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated March 22, 2002, a copy of which is attached, enclosed herewith for filing are the following documents:

☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.

☒ Applicant is:

☐ a small entity, verified statement is:

☐ attached

☐ already filed

☒ other than small entity

☒ Checks totaling \$130 for:

☐ Filing Fee of \$\_\_\_\_\_.

☒ Surcharge of \$130.

☐ Additional Claim Fees of \$\_\_\_\_\_.

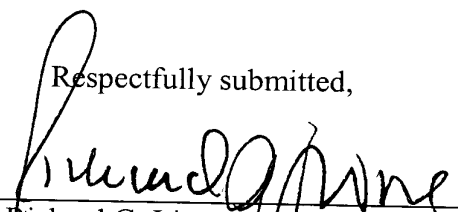
☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).

☒ Other: Assignment Transmittal Letter; Assignment; and Check for \$40.

☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: May 22, 2002

  
Richard G. Lione  
Registration No. 19,795  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
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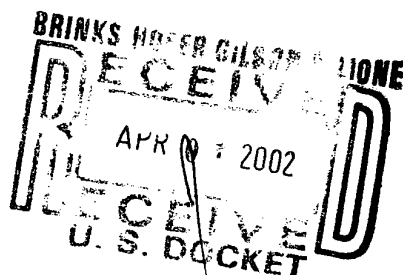


## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/031,210	FIRST NAMED APPLICANT Kazuaki Matsumoto	ATTY. DOCKET NO. 5404/16
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Brinks Hofer Gilson & Lione  
P O Box 10395  
Chicago, IL 60610



INTERNATIONAL APPLICATION NO. PCT/JP01/03939	
LA. FILING DATE 05/11/2001	PRIORITY DATE 05/11/2000

CONFIRMATION NO. 2475

371 FORMALITIES LETTER



\*OC000000007690856\*

Date Mailed: 03/22/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHAKEEL AHMED

Telephone: (703) 305-3659

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/031,210	PCT/JP01/03939	5404/16

FORM PCT/DO/EO/905 (371 Formalities Notice)